

10/542184

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)	
Title of Invention	METHODS OF SCREENING FOR MODULATORS OF NERVE GROWTH FACTOR
As the below named inventor(s), I/we declare that:	
This declaration is directed to:	
<input type="checkbox"/> The attached application, or <input checked="" type="checkbox"/> Application No. <u>PCT/US2004/001609</u> , filed on <u>01/20/2004</u> , <input type="checkbox"/> as amended on _____ (if applicable);	
I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;	
I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;	
I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.	
All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.	

FULL NAME OF INVENTOR(S)	
Inventor one:	<u>Alun DAVIES</u>
Signature:	<u>[Signature]</u> Citizen of: <u>United Kingdom</u>
Inventor two:	<u>Jan M. GRIMM</u>
Signature:	<u>[Signature]</u> Citizen of: <u>Germany</u>
Inventor three:	<u>Sean WYATT</u>
Signature:	<u>[Signature]</u> Citizen of: <u>United Kingdom</u>
Inventor four:	_____
Signature:	_____ Citizen of: _____
<input type="checkbox"/> Additional inventors or a legal representative are being named on _____ additional form(s) attached hereto.	

POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM	Application Number		10/542,184
	Filing Date		January 20, 2004 (Int'l)
	First Named Inventor		Alun DAVIES
	Title	METHODS OF SCREENING FOR MODULATORS OF NERVE GROWTH FACTOR	
	Art Unit	Not Yet Assigned	
	Examiner Name	Not Yet Assigned	
	Attorney Docket No.	514712000800	

I hereby revoke all previous powers of attorney given in the above-identified application.

I hereby appoint:

☒ Practitioners associated with the Customer Number: 25226

OR

☐ Practitioner(s) named below:

Name	Registration Number	Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number:

OR

☐ The address associated with Customer Number:

OR

☐ Firm or Individual Name

Address

City

State

Zip

Country

Telephone

Email

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature	Date
Name	Telephone
Title and Company	

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1 forms are submitted.

10/542184

PTO/SB/96 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Alun DAVIES et al.Application No./Patent No.: 10/542,184 Filed/Issue Date: (Int'l) January 20, 2004Entitled: METHODS OF SCREENING FOR MODULATORS OF NERVE GROWTH FACTOR

Rinat Neuroscience Corp., a corporation
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
 The extent (by percentage) of its ownership interest is _____ %
 in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.
 [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

[Signature]
 Signature

27 January 2006
 Date

CAROL STREAFORD
 Printed or Typed Name

650-715-7377
 Telephone Number

Vice President Intellectual Prop
 Title

ASSIGNMENT JOINT

THIS ASSIGNMENT, by Alun DAVIES, Jan M. GRIMM and Sean WYATT (hereinafter referred to as the assignors), residing at Netherburn, 2 Lade Braes, St. Andrews, United Kingdom KY 16 9ET, 643 18th Avenue, Menlo Park, California 94025 and 541 Del Meido Avenue, Mountain View, California 94040 respectively, witnesseth:

WHEREAS, said assignors have invented certain new and useful improvements in METHODS OF SCREENING FOR MODULATORS OF NERVE GROWTH FACTOR, set forth in an application for Letters Patent of the United States, bearing Serial No. 10/542,184 and filed on January 20, 2004; and

WHEREAS, Rinat Neurosciences Corp., a corporation duly organized under and pursuant to the laws of Delaware and having its principal place of business at 230 East Grand Avenue, South San Francisco, California 94080 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto said assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

COPY

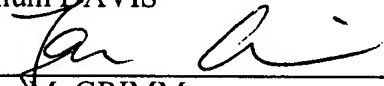
AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee, its successors, legal representatives and assigns.

Date

01/10/06

Date

Alum DAVIS


Jan M. GRIMM

Jan M. GRIMM

Date

Sean WYATT

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of

San Mateo

SS.

On 10 January 2006 before me, Beth Calvin, Notary Public

Date

Name and Title of Officer (e.g., "Jane Doe, Notary Public")

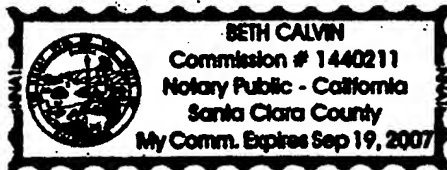
personally appeared

Jan M. Grimm

Name(s) of Signer(s)

- ☒ personally known to me
☐ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

[Signature]
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document:

Assignment Joint

Document Date: 1/10/06

Number of Pages: 2

Signer(s) Other Than Named Above:

Alun Davies, Sean Wyatt

Capacity(ies) Claimed by Signer

Signer's Name:

Jan M. Grimm

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

ASSIGNMENT JOINT

THIS ASSIGNMENT, by Alun DAVIES, Jan M. GRIMM and Sean WYATT (hereinafter referred to as the assignors), residing at Netherburn, 2 Lade Braes, St. Andrews, United Kingdom KY 16 9ET, 643 18th Avenue, Menlo Park, California 94025 and 541 Del Meido Avenue, Mountain View, California 94040 respectively, witnesseth:

WHEREAS, said assignors have invented certain new and useful improvements in METHODS OF SCREENING FOR MODULATORS OF NERVE GROWTH FACTOR, set forth in an application for Letters Patent of the United States, bearing Serial No. 10/542,184 and filed on January 20, 2004; and


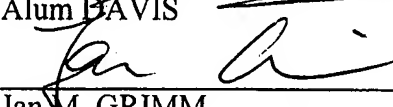
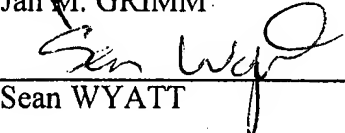
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NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto said assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee, its successors, legal representatives and assigns.

<u>1/18/06</u> Date	<u></u> Alum DAVIS
<u>01/10/06</u> Date	<u></u> Jan M. GRIMM
<u>01/12/06</u> Date	<u></u> Sean WYATT

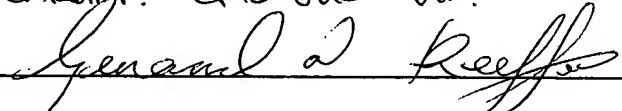
WITNESS TO THE SIGNATURE OF SEAN WYATT, M.D.

STATEMENT OF WITNESSES: I solemnly affirm THAT I WITNESSED THE SIGNATURE OF Sean Wyatt, M.D., on the date written above.

FIRST WITNESS:

Name: GERARD O'KEEFE

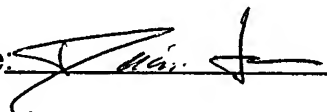
Address: CARDIFF SCHOOL OF BIOSCIENCES, CARDIFF UNI, MUSEUM AV.
CARDIFF. CF10 3US UK.

Signature:  Date: 18.01.06

SECOND WITNESS:

Name: Dáire Sifé de S. Ios. Terence

Address: 7 Lower Cathedral road, CF11 6UL

Signature:  Date: 18.1.06

WITNESS TO THE SIGNATURE OF ALUN DAVIES, Ph.D.

STATEMENT OF WITNESSES: I solemnly affirm THAT I WITNESSED THE SIGNATURE OF Alun Davies, Ph.D., on the date written above.

FIRST WITNESS:

Name: GERARD O'KEEFFE

Address: Cardiff School of Biosciences, Cardiff Uni, Museum Av. Cardiff, CF103US, UK

Signature: 

Date: 18.01.06.

SECOND WITNESS:

Name: Daniela Sofia de Silva Teixeira

Address: 7 Lower Cathedral Road, CF11 6UL

Signature: 

Date: 16.01.06